2814

PTO/SB/21 (01-03)
Approved for use through 04/30/2003. OMB 0651-0031
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	Application Nu		34,348						
TRANSMITTAL	Filing Date	01/18	01/18/2000						
ĕ/ FORM	First Named In	nventor SWIR	SWIRHUN, Stanley E.						
to be used for all correspondence after initial	filing) Art Unit	2814	<u> </u>						
T	Examiner Nan	ne LOUI	LOUIE, Wai Sing						
Total Number of Pages in This Submission	30 Attorney Dock	et Number P008	005-US1						
ENCLOSURES (Check all that apply)									
Fee Transmittal Form	Drawing(s)		After Allowance Communication						
		D	Appeal Communication to the						
Fee Attached	Licensing-related I	rapers	of Appeals and Interferences Appeal Communication to Group						
Amendment/Reply	Petition to Convert	to a	(Appeal Notice, Brief, Reply Brief)						
After Final	Provisional Application Power of Attorney,		Proprietary Information						
Affidavits/declaration(s)	Change of Corresp		Status Letter						
Extension of Time Request	Terminal Disclaime	er	Other Enclosure(s) (please Identify below):						
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i nereby certify that this correspondence is being far first class mail in an envelope addressed to: Commi	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United <u>States Postal Service with sufficient postage</u> as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 01/30/2003								
Typed or printed Cynthia M. Branca									
Signature (in this M Danes Date 1/30/2003)									
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments for the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patein and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.									

PTO/SB/17 (01-03) Approved for use through 04/30/2003. OMB 0651-0032

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LEE IKANOMII IAI		Application Number	09/484,348 01/18/2000			
FEE TRANSMITTA  for FY 2003  Effective 01/01/2003. Patent fees are subject to annual revision  Applicant claims small entity status. See 37 CFR 1.27  TOTAL AMOUNT OF PAYMENT (\$) 410.00  METHOD OF PAYMENT (check all that apply)  Check Credit card Money Other None	Filing Date					
		First Named Inventor	SWIRHUN, Sta	nley E.		
_	<del></del>	Examiner Name	LOUIE, Wai Sir	ng		
Applicant claims small entity status.	T	Art Unit	2814	1.C		
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Number Deposit			1051	130	2051		Cardiarge viate iming ree or oath	·
Account Name	Barlow, Josephs & Holmes		1052	50	2052	25	Surcharge - late provisional filing fee of cover sheet	·
The Commissioner is authorized to: (check all that apply)		1053	130	1053	130	Non-English specification	<u> </u>	
Charge fee(s) indicated below Credit any overpayments		1812	2,520	1812	2,520	For filing a request for ex parte reexamin	ation	
Charge any additional fee(s) during the pendency of this application		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee	e(s) indicated below, except for the filing	fee	1805	1,840*	1805	1 840*	Requesting publication of SIR after	
to the above-io	dentified deposit account.		1000	1,040	1000	1,040	Examiner action	<del></del>
-	FEE CALCULATION		1251	110	2251	55	Extension for reply within first month	410.00
1. BASIC F	ILING FEE		1252	410	2252	205	Extension for reply within second month	
Large Entity		<b>.</b>	1253	930	2253	465	Extension for reply within third month	
Fee Fee Code (\$)	Fee Fee Fee Description Code (\$)	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
1001 750	2001 375 Utility filing fee		1255	1,970	2255	985	Extension for reply within fifth month	
1002 330	2002 165 Design filing fee		1401	320	2401	160	Notice of Appeal	
1003 520	2003 260 Plant filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1004 750	2004 375 Reissue filing fee		1403	280	2403	140	Request for oral hearing	
1005 160	2005 80 Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceedi	ng
İ	SUBTOTAL (1) (\$)		1452	110	2452	55	Petition to revive - unavoidable	7
2 FYTPA	CLAIM FEES FOR UTILITY AND	DEICCUE	1453	1,300	2453	650	Petition to revive - unintentional Utility issue fee (or reissue)	
Z. EXIIVA	Fee fro	m	1501	1,300	2501	650	Utility issue fee (or reissue)	1/10
Total Claims	Extra Claims below	Fee Paid	1502	470	2502	235	Design issue fee	42/2
Independent			1503	630	2503	315	Plant issue fee	12 M
Claims Multiple Depe	3** = X		1460	130	1460	130	Design issue fee Plant issue fee Petitions to the Commissioner	VEC
• •	<u> </u>	J T	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	<sup>,</sup>
Large Entity Fee Fee	Small Entity Fee Fee Fee Description		1806	180	1806		Submission of Information Disclosure S	
Code (\$)	Code (\$)		8021	40	8021	40	Recording each patent assignment per opporties property (times number of properties)	5
1202 18 1201 84	2202 9 Claims in excess of 20 2201 42 Independent claims in e	evess of 3	1809	750	2809		Filing a submission after final rejection	
1203 280	2203 140 Multiple dependent clair		1810	750	2810	375	(37 CFR 1.129(a)) For each additional invention to be	<u> </u>
1204 84	2204 42 ** Reissue independen	claims					examined (37 CFR 1.129(b))	
400"	over original patent		1801	750	2801		Request for Continued Examination (RC	(E)
1205 18	2205 9 ** Reissue claims in extended and over original pate		1802	900	1802	900	Request for expedited examination of a design application	
	SUBTOTAL (2) (\$)		Other t	ee (spe	ecify) _			
**or number	r previously paid, if greater; For Reissues,	see above	*Redu	ced by	Basic F	iling Fe	ee Paid SUBTOTAL (3) (\$) 4	10.00

SUBMITTED BY (Complete (if applicable) Name (Print/Type) Mark E. Tetreault Registration No. 48,289 Telephone 401-273-4446 Signature Date 103

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Serial No. 09/484,348

## **CERTIFICATE OF MAILING**

This will hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in a prepaid envelope addressed to: Assistant Commissioner for Patents, Washington DC 20231 this 30 of January, 2003.

PATENT **ART UNIT 2814** Serial No: 09/484,348

Examiner: LOUIE, Wai Sing

Art Unit: 2814

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

SWIRHUN, Stanley E.

Serial No:

Filed:

Title:

09/484,348

January 18, 2000

**CLOSELY SPACED VCSEL AND** 

PHOTOCONDUCTOR FOR APPLICATIONS) REQIRING INDEPENDENT OPERATION)

Docket No:

O013 P00805-US1

## RESPONSE AND AMENDMENT

**Assistant Commissioner for Patents** Washington DC 20231

Sir:

A petition for an extension of two months to extend the due date for response from December 19, 2002 to February 19, 2003 is enclosed with the required fee.

Responsive to the official action dated September 19, 2002, please amend the above-identified patent application as follows:

## In the Drawings:

Applicant has included formal drawing sheets for Figs. 1-8 for entry into the file should the Examiner find them acceptable.

02/07/2003 DEMMANU1 00000045 020900 09484348

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